

Stress risk factors and stress-related pathology: Neuroplasticity, epigenetics and endophenotypes

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Abstract

This paper highlights a symposium on stress risk factors and stress susceptibility, presented at the Neurobiology of Stress workshop in Boulder, CO, in June 2010. This symposium addressed factors linking stress plasticity and reactivity to stress pathology in animal models and in humans. Dr. J. Radley discussed studies demonstrating prefrontal cortical neuroplasticity and prefrontal control of hypothalamo–pituitary–adrenocortical axis function in rats, highlighting the emerging evidence of the critical role that this region plays in normal and pathological stress integration. Dr. M. Kabbaj summarized his studies of possible epigenetic mechanisms underlying behavioral differences in rat populations bred for differential stress reactivity. Dr. L. Jacobson described studies using a mouse model to explore the diverse actions of antidepressants in brain, suggesting mechanisms whereby antidepressants may be differentially effective in treating specific depression endophenotypes. Dr. R. Yehuda discussed the role of glucocorticoids in post-traumatic stress disorder (PTSD), indicating that low cortisol level may be a trait that predisposes the individual to development of the disorder. Furthermore, she presented evidence indicating that traumatic events can have transgenerational impact on cortisol reactivity and development of PTSD symptoms. Together, the symposium highlighted emerging themes regarding the role of brain reorganization, individual differences, and epigenetics in determining stress plasticity and pathology.

Keywords: *Antidepressants, post-traumatic stress disorder, depression, glucocorticoids, hippocampus, individual differences*

Introduction

Adaptation in the face of stress is a major priority for all biological systems. As a result, natural selection has favored an efficient and highly conserved set of interlocking systems that maintain physiological integrity even in the most demanding of circumstances. The adaptive strategy recruits systems that act as rapid and delayed effectors, and behaviors designed to limit or avoid stressor exposure.

Physiological stress responses are initiated rapidly and are designed to optimize mobilization of resources and restoration of homeostasis (Munck et al. 1984). Activation of the sympatho-adrenomedullary system occurs within seconds of perceived stress. Sympathetic

nervous system (SNS) excitation promotes norepinephrine-induced changes in numerous bodily systems, including increase in heart rate and blood pressure, and causes adrenomedullary epinephrine release, promoting hepatic glycogenolysis. The hypothalamo–pituitary–adrenocortical (HPA) axis response is initiated on a slightly longer time scale (due to its neuroendocrine components). The HPA axis introduces glucocorticoid (GC) hormones into the circulation to provide further redistribution of energy resources (e.g. hepatic gluconeogenesis), while also serving to limit the duration and impact of the initial stress response. Both these “emergency” responses are of immediate benefit, but are potentially

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damaging if either prolonged or curtailed, necessitating feedback mechanisms aimed at limiting duration of neural responses and hormone secretion.

Stressors elicit cognitive responses that are important in the overall interpretation of stimuli. Cognitive interpretations are likely based on innate response predispositions, prior experience with stimulus arrays that constitute the “stressor,” and the intensity of the stressor itself, and will affect the nature and intensity of the physiological response. Cognitive and physiological reactions to stressors are highly influenced by genetics, early-life environment and trauma, and contribute to individual differences in stress reactivity and in the case of posttraumatic stress disorder (PTSD), stress pathology.

The majority of stress responses involve mobilization of resources to adapt to the adverse conditions. Generally, response patterns are appropriately regulated to “fit” the prevailing threat. For example, responses to acute stress generally ebb soon after the stressor is stopped, predominantly via effective neural or hormonal feedback mechanisms (e.g. GC negative feedback; Keller-Wood and Dallman 1984). An exception to this pattern can be seen in the case of PTSD, in which an acute event that elicits the need for an intense reaction (e.g. rape, combat, and life-threatening accident) leaves lingering physiological response patterns though the immediate threat associated with the event has passed. However, prolonged stress exposure recruits long-term adaptive mechanisms to cope with increased life adversity, many of which involve neuroplastic adaptations (e.g. changes in gene regulation and altered neuronal structure; McEwen 2001; Cook and Wellman 2004). Although many plastic mechanisms are adaptive, and promote processes such as HPA axis habituation and reduced susceptibility to glutamate (Glu) neurotoxicity (McEwen 2001), increased pressure on the relevant neuronal systems (i.e. “allostatic load”; McEwen and Stellar 1993; McEwen 1998) can render the organism more sensitive or susceptible to new challenges. Thus, neuroplastic events triggered by stress may render stress systems hyperresponsive or indeed, hyporesponsive, placing the organism at risk for stress-related diseases such as depression and PTSD.

Responses to stress are ultimately based on the predispositions of the organism. The magnitude, duration, and pathological consequences of physiological “stress responses” differ markedly between individuals, based on stress history, genetic background, and early-life programming. Thus, resilience and susceptibility to stress are dictated by a variety of factors that ultimately determine whether neuroplastic adaptations can effectively promote coping or lead to loss of appropriate stress control and perhaps pathology.

This symposium was intended to provide understanding of factors that link stress plasticity and

reactivity to stress pathology in animal models and in humans. Dr. Radley’s work explores neuroplastic pathways in the forebrain that are targeted by stressors and play a critical role in limiting the net impact of stress on physiological responses (as well as behavior). Dr. Kabbaj describes studies of the neural mechanisms that predispose individuals to stress-reactive or non stress-reactive phenotypes, focusing on differences in epigenetic programming of stress-related gene expression in brain. Dr. Jacobson addresses the key problem of HPA GC hypersecretion vs. hyposecretion within the context of depressive disorders and explains how drugs with very different mechanisms of action may be selectively beneficial for the GC “endophenotypes.” Finally, Dr. Yehuda provides evidence demonstrating that PTSD pathology may be linked to an inadequate GC response to an initial traumatic stressor which then does not enable the person to engage mechanisms associated with physiological homeostasis. Interestingly, recent evidence suggests that this inadequate GC response may have epigenetic origins.

Neuroplasticity and limbic circuitry: Role in stress integration Jason Radley, University of Iowa

Neural regulation of adaptive responses to stress requires complex integrative processes across activation and modulatory networks in the brain. Cell groups in the limbic forebrain, notably components of the amygdala, hippocampal formation (HF), and medial prefrontal cortex (mPFC), are implicated not only in cognitive/affective responses to stress, but also in endocrine adjustments, by modulating activity of the HPA axis (Cullinan et al. 1995; Herman and Cullinan 1997; Li and Sawchenko 1998; Dayas et al. 2001). Limbic forebrain cell groups are also targets of GCs and exhibit the capacity to limit the HPA response following stress exposure via glucocorticoid receptor (GR)-mediated negative feedback (Sapolsky et al. 1984; Kovacs and Makara 1988; Diorio et al. 1993). Thus, alterations in HPA function that have been documented to result from exposure to chronic stress (Otteweller et al. 1989; Herman et al. 1995a,b; Willner 1997) are likely to involve the failure of the restraining influences exerted by this modulatory network. The prevalence of HPA axis hyperactivity in depressive illness (see Jacobson, this article) raises the prospect that clarifying the mechanisms of stress neuroendocrine systems in animal studies may help to understand the pathophysiology of this disorder (Carroll et al. 1976; Sapolsky 1996; Sheline et al. 1996). To this end, our work has focused on the relationship between structural and neuroendocrine plasticity triggered by chronic stress. We have attacked this problem on two fronts: the first is understanding the nature of the effects of chronic stress on structural

plasticity in limbic cortical regions (most notably in mPFC), and the second is the elucidation of the neural pathways that provide for their HPA-inhibitory influences.

Stress plasticity in limbic cortical circuitry

A substantial body of research over the past several decades has implicated the hippocampus as a central player in chronic stress-induced plasticity and HPA axis dysregulation (Jacobson and Sapolsky 1991; McEwen 2001; Conrad 2008). These studies have generally found that the hippocampus plays an important role in limiting the HPA axis response following acute stress, at least in part via GC negative feedback, whereas chronic stress and GCs impair hippocampal structure and function. The histopathological features in animal models of chronic stress parallel neuroimaging studies showing gray matter volume and functional impairments in the hippocampus of depressed patients (Watanabe et al. 1992; Sheline et al. 1996; Sheline et al. 2003), although not observed in all studies, as discussed by Fink (2011). Sustained elevations in GCs have even been implicated in neurodegenerative mechanisms by rendering neurons more susceptible to excitotoxic insults (Conrad et al. 2007; Conrad 2008). Thus, while stress-induced neuroplasticity in the hippocampus has remained as the prime suspect in the disruption and/or maintenance of restraining influences on the HPA axis, direct support for this idea has not been forthcoming. Furthermore, evidence in recent years has emerged that complicates the model placing the

hippocampus at the epicenter of stress pathology. Multiple limbic forebrain regions, e.g. septum, mPFC, posterior paraventricular thalamic (PVTp) nucleus (Feldman and Conforti 1980; Kovacs and Makara 1988; Diorio et al. 1993, Jaferi and Bhatnagar 2006), are also target sites for GC negative feedback influences over the HPA axis, suggesting that restraining influences over the HPA axis may be exerted more broadly via multiple cell groups, bringing these regions into play as targets for chronic stress-induced plasticity. Moreover, neuroimaging studies in depression have also shown comparable gray matter volume deficits in mPFC as previously shown in the hippocampus (Drevets et al. 1997), which led us to examine the relationship between chronic stress and structural plasticity in the mPFC.

These studies in rats entailed performing intracellular injections of fluorescent dye (Lucifer yellow) in pyramidal neurons in the mPFC [notably within the anterior cingulate (ACd) and prelimbic (PL) areas, respectively] after 3 weeks of daily restraint stress, followed by analysis of digitally reconstructed neurons using high-resolution confocal laser-scanning microscopy. Chronic stress reduced total apical dendritic length by 20% and apical dendritic spine densities by 16% (Radley et al. 2004, 2005, 2006b). These effects were most pronounced in the outermost aspect of layer I in the distal portion of the dendritic tree (Figure 1). Given that spines comprise the vast majority of sites of postsynaptic contacts for excitatory synapses in the mammalian prefrontal cortex, if the effects of stress on dendritic length and spine density are taken together, as much as one-third of the entire

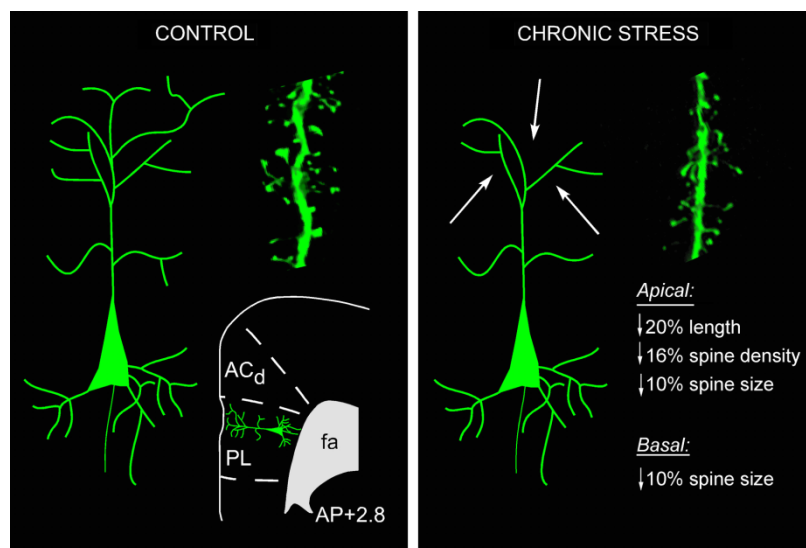


Figure 1. Diagram illustrating the effects of chronic stress (3 weeks of restraint) on structural plasticity in mPFC pyramidal neurons. Fluorescent dye injections of pyramidal neurons were made in the dorsal ACd and PL areas of the rat. An atlas plate (lower left) depicts the approximate region within mPFC that neurons were filled for morphologic analyses. Distance in millimeters relative to bregma is indicated. Schematic neurons are shown for control (left) and chronic restraint stress (right), with arrows highlighting the finding that dendritic atrophy and spine/excitatory synapse loss is most prominent on distal apical dendrites (right). Also shown in each panel are examples of confocal laser-scanning microscopy images of dendritic segments; fa, forceps anterior; cc, corpus callosum.

population of excitatory synaptic inputs into the mPFC may be compromised following chronic stress (Radley et al. 2006b). Next, we utilized a Rayburst-based automated approach (NeuronStudio; Rodriguez et al. 2003; Wearne et al. 2005) to analyze the effects of chronic stress on spine morphometric features, which revealed chronic stress-induced decreases in mean apical dendritic spine volume and surface area throughout the dendritic tree in mPFC pyramidal neurons (Radley et al. 2008; Figure 1). This analysis revealed an overall shift in the population of spines, manifested by a reduction in large spines and an increase in small spines. Collectively, these studies illustrate how chronic stress may disrupt the net excitatory synaptic input into the mPFC, leaving the available population of axospinous synapses with a diminished functional capacity, in terms of biochemical compartmentalization, receptor expression, and synaptic efficacy.

Some evidence to date supports the possibility that stress-induced morphological changes also have functional consequences. One report has linked chronic stress-induced dendritic atrophy in mPFC pyramidal neurons to reduced serotonin- and hypocretin-evoked excitatory post-synaptic potentials (Liu and Aghajanian 2008). Another study showed that the long-term potentiation in the hippocampal–PFC pathway is disrupted following chronic stress (Cerqueira et al. 2007). Several reports have correlated chronic stress-induced dendritic remodeling in the mPFC with impaired performance in several prefrontal-dependent tasks (Liston et al. 2006; Dias-Ferreira et al. 2009). These studies help to provide a more cellular-based perspective for understanding how stress may trigger a sequelae of changes in the mPFC, that produces the disordered thought and affect that is characteristic of illnesses such as depression and PTSD.

Limbic cortex and stress regulation: Functional connectivity and heterogeneity

Despite the advances in understanding the role of the hippocampus and mPFC in stress pathology and depression, less progress has been made in clarifying the relationship of stress-induced plasticity and the withdrawal of HPA-restraining influences. One major impediment has been the inability to unravel the neural circuitry providing for limbic cortical modulation of the HPA axis. Although both of these regions issue projections throughout numerous basal forebrain and hypothalamic structures, they lack any direct innervation of HPA effector neurons within the paraventricular hypothalamic (PVH) nucleus (Sesack et al. 1989; Cullinan et al. 1993, Herman et al. 2003). Moreover, mPFC and hippocampal outputs are predominantly excitatory, utilizing the neurotransmitter Glu (Swanson and Cowan 1977, Walaas and

Fonnum 1980), implicating gamma-aminobutyric acid (GABA)-ergic relays (Cullinan et al. 1993, Herman et al. 2003). Whereas attempts at localizing the source of stress-inhibitory influences from the HF have been successful (Herman et al. 1995a); the cortical subfield(s) within the mPFC that provide the source of inhibition have been more elusive. This may be due to the fact that the role of the mPFC in stress regulation was considered to be unidirectional (Diorio et al. 1993; Akana et al. 2001; Figueiredo et al. 2003); however, several conflicting reports have implicated the mPFC as exerting an excitatory influence on HPA output (Sullivan and Gratton 1999; Spencer et al. 2005).

Through a series of carefully directed studies employing discrete excitotoxin lesions in cortical subfields of the mPFC, we found that mPFC influences over acute emotional (restraint) stress-induced HPA output are differentiated in a dorsal–ventral manner (Radley et al. 2006a). Lesions to the PL enhanced, whereas infralimbic (IL) lesions inhibited, HPA activation in response to acute emotional (restraint) stress. Furthermore, PL lesions resulted in a prolonged elevation of plasma corticosterone level after the cessation of restraint, consistent with its role as a target site for GC negative feedback under normal conditions (Diorio 1993). IL lesions also enhanced activation of a distinct subpopulation of neurons in PVH that issue projections to brainstem and spinal cord regions implicated in autonomic output. Subsequent experiments performed in rats bearing retrograde tracer deposits to label PVH-autonomic projections, confirmed that IL lesions selectively increased stress-induced activation in this cell group (Radley et al. 2006a). These data suggest that the IL normally provides an excitatory influence, whereas the PL has the capacity to restrain HPA axis activation in response to acutely stressful experiences. Moreover, these studies implicate differentiated regions of mPFC in the inhibitory control of autonomic and neuroendocrine aspects of stress-induced PVH outflow (Figure 2).

Follow-up work was focused on defining the possible neural mechanisms providing for HPA-inhibitory influences from the PL. A series of ablation and anatomical tract tracing experiments, and assay of central and hormonal indices of HPA axis responses to acute emotional stress, revealed that a discrete population of GABAergic neurons in the anterior bed nucleus of the stria terminalis (aBST; corresponding to the dorsomedial and fusiform subdivisions of (Dong et al. 2001) is likely to subservise PL influences over acute stress-induced HPA output (Radley et al. 2009). This region of the aBST contains a cluster of stress-sensitive, PVH-projecting, GABAergic neurons that show a diminished activation following PL lesions (Radley et al. 2009). Moreover, selective ablation of these neurons produces an enhancement of

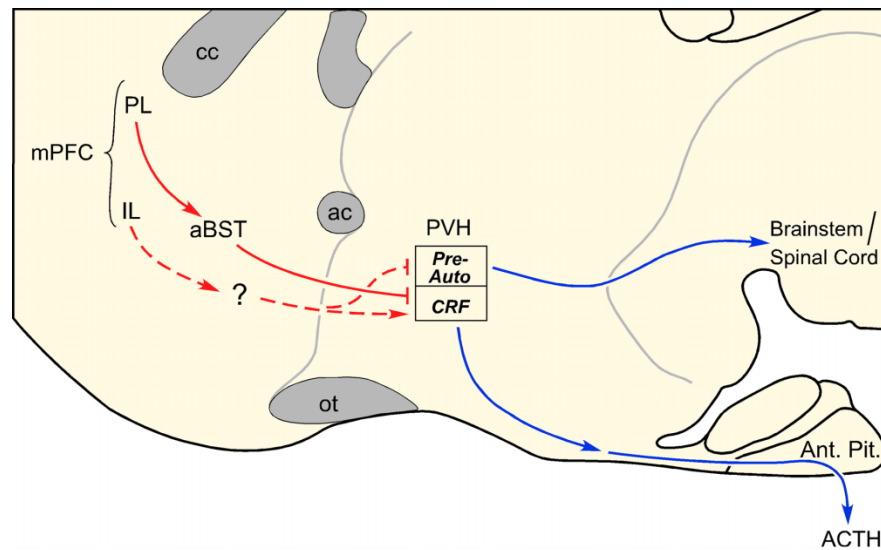


Figure 2. Schematic drawing of a sagittal section through the rat basal forebrain highlighting possible circuitry by which the mPFC may influence stress-related PVH nucleus effector mechanisms. These studies have also established a contingent of GABAergic neurons in the aBST as the likely source of relays for PL cortex inhibitory influences over acute stress-induced HPA axis output. The dashed line from the IL cortex indicates that the projection has not been formally established; ac, anterior commissure; Ant. Pit., anterior pituitary; aBST, anterior bed nucleus of the stria terminalis; cc, corpus callosum; CRF, corticotropin-releasing factor (hormone); IL, infralimbic area; ot, optic tract; Pre-Auto, preautonomic subdivisions; PL, prelimbic area/cortex.

stress-induced HPA activation similar to that observed following PL lesions (Radley et al. 2009). To date, one other study also indicates that the IL modulation of stress responses is relayed via contiguous regions within the aBST (Spencer et al. 2005), presumably via a contiguous or interposed group of PVH-projecting excitatory neurons (Choi et al. 2007).

Another issue concerns the broader organization of cortical limbic modulation of the HPA axis, as stress-induced structural plasticity at multiple regions may contribute to its dysregulation and stress pathology. Evidence gathered from a series of experiments employing the aforementioned technical approaches suggests that stress-inhibitory influences of the mPFC and HF are exerted principally via convergence onto the same population of GABAergic neurons in aBST (Radley and Sawchenko 2011). This conclusion is based on three lines of evidence: (1) anatomical tracing experiments indicate that extrinsic projections from the HF and the mPFC converge onto stress-sensitive, PVH-projecting neurons in the aBST; (2) GABAergic PVH-projecting cell groups in the aBST show a diminished functional activation following acute stress in animals bearing excitotoxin lesions of either the ventral subiculum (vSUB) or PL; (3) the aBST plays a more prominent inhibitory role than the vSUB over stress-induced increases in plasma corticosterone level.

There are a number of hypotheses that derive from this “aBST convergence network” organization that should help to inform future studies (Figure 3). One is that the aBST serves as a neural hub for receiving and integrating stress-modulatory influences from

additional limbic forebrain regions (i.e. PVTp, septum, and amygdala). Another prediction is that this network, notably via GABAergic relays in the aBST, may serve to integrate GR-mediated negative feedback signals from these limbic forebrain regions. In this regard, none of these regions provide any appreciable innervation of the PVH, although each projects to the aBST (Shin et al. 2008). The fact that the circuits and mechanisms highlighted by our work are largely inhibitory may help to set these apart from HPA-activating networks yet to be clarified (e.g. one that conveys HPA-excitatory influences of the IL). Finally, chronic stress-induced neuroplasticity in key nodal points (e.g. dendritic atrophy, synapse loss in the mPFC/HF) may diminish their weighting in the modulatory network, via altering integration in aBST GABA neurons, and subsequent disinhibition of HPA activity (e.g. sensitization and facilitation). In summary, this work should help in deciphering how these systems are organized and to provide a framework for understanding how stress-induced neuroplasticity may alter the integration of modulatory and activation systems for adaptation and pathology.

Individual differences in stress sensitivity: Epigenetic mechanisms Mohammed Kabbaj, Florida State University

Depression is a growing problem worldwide that possesses variation in symptoms and response to treatment. This problem is particularly acute not only because so many individuals go undiagnosed and therefore untreated, but also because the underlying

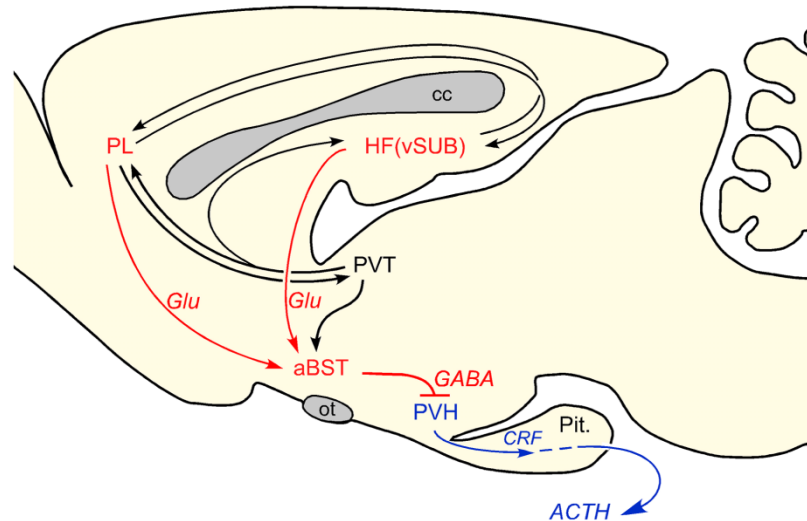


Figure 3. Proposed role of the aBST as an integrator of limbic cortical influences during emotional stress-induced HPA axis output in the rat. Anatomical and lesion data support the pathways highlighted in red with aBST providing an important source of GABAergic innervation of the PVH nucleus, and relaying limbic cortical influences, which use Glu, from the HF and the mPFC (i.e. PL cortex). The PVT nucleus is shown (in black), as it is known to influence HPA output, notably via GR-mediated negative feedback (Jaferi and Bhatnagar 2006). Like the vSUB and the PL, the PVT does not provide any direct innervation (PVH), but does issue projections to the aBST. Chronic stress may compromise these inhibitory influences over PVH/HPA output via the aBST, manifesting in corticosterone hypersecretion, as evident in depression; cc, corpus callosum; ot, optic tract; Pit. anterior pituitary.

mechanisms of antidepressant resistance are still unclear. Clinical evidence indicates that personality traits can be used to predict vulnerability to mood disorders such as depression (Cloninger 2006). As a depressive episode is often preceded by stress (Kessler et al. 2005), it is possible that individual differences in response to stress may contribute to such observed individual variation in the behavior and pathology of depression.

Social stress and individual differences in anxiety- and depression-like behaviors

In humans, depression-inducing stressors tend to be of psychological nature. As such, we utilize an animal model of intraspecies social conflict termed social defeat. This stressor is a modified version of the resident-intruder paradigm, where a male intruder replaces the female cohabitant in the home cage of an aggressive, dominant male. As repeated social defeat does not result in habituation upon repeated presentation (Tidey and Miczek 1997), this model has the advantage of providing ethologically and ecologically relevant forms of persistent emotional stress. When flight is barred, the intruder will assume a supine position and emit loud, and frequent ultrasonic distress calls (Blanchard and Blanchard 1977). Chronic exposure to social defeat has been found to induce both short- and long-term behavioral and physiological changes. These include decreased locomotor and exploratory activity (Meerlo et al. 1996; Koolhaas et al. 1997), reduced aggression and sexual behavior (Meerlo et al. 1996), and increased

submissive behavior and anxiety (Ruis et al. 1999). Chronically defeated rats show reduced mobility in a forced swim test (FST) and reduced preference for sweet sucrose solution (anhedonia) as well (Ryguła et al. 2005). Reduced locomotor and exploratory activity implies a deficit in motivation, whereas reduced mobility in the FST is interpreted to reflect depressive-like behavior. Decreased sucrose preference may indicate desensitization of the brain reward circuitry. Taken together, these findings indicate that chronic social defeat in rats is an appropriate model for depressive disorders.

As individuals vary in their response to depression and subsequent treatment, they may also show differences in the response to stress. A multitude of animal paradigms that model human mood disorders have been developed, based on persistent inter-individual differences in response to stress, to study the neurobiology of human affect disorders (Harro 2010). One of these models relies on the response to the mild stress of a novel environment, where some rats, known as high responders (HRs), exhibit high rates of exploratory locomotion while others, known as low responders (LRs), exhibit low rates of locomotor activity (Piazza et al. 1989; Hooks et al. 1992b; Pierre and Vezina 1997; Kabbaj and Akil 2001). The locomotor response to a novel environment not only predicts subsequent behavioral responses to drugs such as amphetamine and cocaine (Piazza et al. 1989; Hooks et al. 1991a,b, 1992a; Pierre and Vezina 1997; Kabbaj and Akil 2001), but also predicts anxiety-related behavior in these animals (Dellu et al. 1996; Kabbaj et al. 2000). Indeed, LR rats display higher

levels of anxiety in an elevated T-maze, a response that is more strongly enhanced in LR than HR animals following repeated exposure to the test (Verheij et al. 2009). HR and LR rats also appear to exhibit different behaviors in the FST under basal conditions and following antidepressant treatment, although these points are still unclear. Indeed, Taghzouti and colleagues (1999) reported individual differences in the test phase of the FST together with differential effects of subchronic fluoxetine injections, whereas a recent study using the same injection protocol revealed no individual differences in the test phase of the FST procedure, an equal effectiveness of fluoxetine in reducing behavioral despair, but an antidepressant effect of desipramine in LR rats only (Jama et al. 2008). Taken together, this evidence strongly indicates that HR and LR rats possess differential vulnerabilities to the social defeat paradigm that could model the individual differences in vulnerabilities to stress-related mood disorders.

In our behavioral investigations, we focused on basal and defeat-induced differences between HR and LR rats on anhedonia, anxiety, social approach and avoidance, and contextual fear memory. We therefore analyzed the vulnerability of HR and LR animals to several aspects of social defeat-induced depressive-like behaviors. First, analysis of global locomotor and exploratory behavior in an open field confirmed previous reports that non-defeated HR rats exhibit reduced anxiety levels compared to their LR counterparts (Dellu et al. 1996; Kabbaj et al. 2000). Exposure to repeated social defeat, however, strongly reduces the time spent by HR animals in the center of the arena to that of the level of LR rats. Moreover, HR and LR animals display similar responses to repeated social defeat in terms of general mobility, exploratory and stereotyped behaviors, demonstrating that the observed reduction of time spent in the center exhibited by HR rats is related to anxiety. Although this suspected higher vulnerability to stress-induced anxiety remains to be confirmed and analyzed in detail using more anxiety-specific behavioral procedures, this point is of particular interest when considering other observations in LR and HR animals. Indeed, while HR rats self-administer higher levels of cocaine

than LR individuals under basal conditions, no individual differences can be observed following social defeat exposure (Kabbaj and Akil 2001). Moreover, while HR animals display a higher preference than LR individuals for a 0.25% sucrose solution during the first presentation, both HR and LR rats exhibit similar sucrose preferences following exposure to social defeat. The stereotypic decrease in immobility duration displayed by HR animals during the first exposure to FST is lost upon repeated FST presentations (Taghzouti et al. 1999). While repeated defeat exposure did not affect sucrose preference, or social avoidance in LR rats, HR rats consistently demonstrated behavioral susceptibilities to this stressor. Finally, when re-exposed to the context of social defeat, repeatedly defeated LR and HR rats both demonstrated similar freezing responses to this context. Following acute social defeat exposure, however, only HR rats exhibited freezing behavior when tested 4 weeks later. Together, these observations indicate an increased sensitivity to stress in HR animals related to a heightened emotional reactivity (Table I).

Epigenetic factors and individual differences

Individual behavioral responses to social defeat underscore the potential for underlying neurobiological alterations. Such behavioral changes may be the products of chromatin modification in response to the experience of social defeat. Chromatin modification is a dynamic process that regulates gene expression without alteration of the DNA sequences (Guan et al. 2002; Crosio et al. 2003). This modification is primarily accomplished through modifications of histone N-terminal tails at the promoter regions of specific genes (Cheung et al. 2000). Recent studies have found changes in modifications at specific gene promoter regions in association with social defeat (Tsankova et al. 2004, 2006; Covington et al. 2009, Wilkinson et al. 2009). One such modification is histone acetylation. Acetylation has been widely studied and it is generally accepted that hyperacetylation leads to an increase in gene expression, whereas hypoacetylation leads to gene silencing (Forsberg and Bresnick 2001, Ito and Adcock 2002). Histone

Table I. Behavioral characteristics of HR vs. LR rat strains.

	HR control	LR control	Defeat effects in HR rats	Defeat effects in LR rats
Locomotion in novel environment	High	Low	NS	NS
Cocaine self-administration	High	Low	Decreased	Increased
Anxiety in open field	Low	High	Increased	No effects
Anhedonia–sucrose preference	High	Low	Decreased	No effects
Contextual freezing after chronic defeat	–	–	Increased	Increased
Contextual freezing after acute defeat	–	–	Increased	No effects

Notes: Summary of the behavioral characteristics of rat strains showing high (HR) or low (LR) locomotor responses to novelty stress. Control, behavioral responses in naïve HR and LR subjects; defeat effects, response patterns seen after exposure to social defeat; NS, no significant difference from control pattern.

acetylation is a stress-regulated process, as acute psychological stressors cause acetylation of lysine 14 of histone H3 (H3K14) in the dentate gyrus (Reul and Chandramohan 2007). Furthermore, increased acetylation at specific promoter regions of genes such as brain-derived neurotrophic factor is associated with a reversal of depressive-like behavior following electroconvulsive shock therapy, while overall increased acetylation in the nucleus accumbens has been associated with depressive-like symptoms in mice (Tsankova et al. 2006; Covington et al. 2009).

We examined potential epigenetic correlates of LR–HR behavioral differences in three brain areas that are relevant to human depression: the hippocampus, the amygdala, and the mPFC. As a first step to explore a possible role for histone modification in epigenetic modulation of stress reactivity, we examined basal differences in histone acetylation between HR and LR rats where we found higher levels of H3 and H2B acetylation in HR hippocampi. Such increased acetylation may account for the observed increases in hippocampal gene expression in HR rats shown previously (Kabbaj 2004). We also looked at differences in histone acetylation in HR and LR rats following exposure to acute and repeated social defeat. Following acute social defeat, we found an interesting difference in the timing of this acetylation between HR and LR rats. In the hippocampus, while histone H3 hyperacetylation (acetylation of H3K9 and K14) is significantly increased in both HR and LR animals, this increase is seen 30 min following defeat exposure in HR rats but 2 h and 30 min after exposure in LR rats. In the amygdala, there is a similar pattern in the timing of acetylation, but in the opposite direction with HR rats exhibiting a transient decrease in H3 hyperacetylation 30 min after defeat and LR rats exhibiting a sustained decrease 2 h and 30 min later. Our findings indicate that there is differential regulation of gene expression between the hippocampus and the amygdala, with HR animals appearing to respond to the stressor faster at the molecular level. These changes appear to be specific to the amygdala and hippocampus, as we found no changes in acetylation in the mPFC. Additionally, acute defeat appears to have no effect on histone H4 acetylation, as we found no significant changes in acetylation on this terminal trial. Following repeated social defeat exposure, we found differential changes in acetylation in the hippocampus, with histone H3 acetylation decreasing in HR rats, but increasing in LR rats and significant increases in histone H4 acetylation in both HR and LR animals. Although the timing of these acetylation changes did not differ between HR and LR rats as seen following acute defeat, both groups had increased histone H3 acetylation 24 h after the last exposure to defeat in the hippocampus. Interestingly, we did not see any changes in the amygdala following repeated defeat in either HR or LR rats. This could mean that the

amygdala is involved only in the initial fear response to defeat, but not in repeated presentations, although a thorough investigation of specific nuclei of the amygdala is still needed. We also did not see any changes in the mPFC following repeated defeat, again suggesting that acetylation in this area is unaffected by defeat.

We sought to uncover the mechanism behind this differential change in acetylation by investigating levels of expression of one histone acetyltransferase (HATs) and several histone deacetylases (HDACs). We chose these two classes of enzymes as they are both directly responsible for changes in acetylation on histones (Wade 2001). HAT enzymes use an acetyltransferase catalytic domain to add acetyl groups to lysine residues on histone N-terminal tails (Roth et al. 2001), thereby weakening their interaction with the DNA and facilitating transcriptional activation (Bannister and Kouzarides 1996; Ogryzko et al. 1996; Roth et al. 2001). One such HAT is the cyclic AMP response element binding protein (CBP), a transcriptional coactivator that interacts with numerous transcriptional regulators and facilitates the assembly of the transcriptional machinery (Chrivia et al. 1993; Janknecht 2002). Importantly, CBP preferentially acetylates H3K14 (Cheung et al. 2000; Lo et al. 2000; McManus and Hendzel 2001). HDAC enzymes exert their effect by removing the negatively charged acetyl groups from acetylated histones, thereby increasing the net positive charge and the affinity of histones for the negatively charged DNA. This strengthening of histone–DNA contacts upon histone deacetylation interferes with transcriptional activation. Thus, HDACs are considered active transcriptional repressors. Accordingly, we investigated HDACs recently linked to regulation of CBP. We observed a significant increase in CBP and decrease in HDAC3 in HR animals as compared to LR rats. Although this regulation remains to be confirmed at the protein level, HR animals appear to exhibit an increase in CBP and a decrease in HDAC3, both acting in a coherent manner to explain the higher acetylation level of histones H3 and H2B. In contrast, we did not find any regulation of the other investigated targets following social defeat. While this result may appear surprising in light of the observed modifications in histone acetylation, it is in line with similar analyses of several Classes I and II HDAC mRNA levels, including HDACs 4 and 5, in the hippocampus after chronic social defeat in mice (Tsankova et al. 2006). Indeed, the authors reported no significant influence of social defeat alone on the levels of HDAC expression (Tsankova et al. 2006). It may be the case that post-translational modification of HDACs may underlie the observed changes in histone acetylation as Class II HDACs have the ability to shuttle between the nucleus and cytoplasm depending on the received signal (de Ruijter et al. 2003). Also, we cannot rule out the possibility that other HDACs,

HATs, or other brain structures are implicated. Recent work has implicated HDAC2 in the regulation of acetylation in the nucleus accumbens following repeated exposure to social defeat (Covington et al. 2009). Also, GCN5 is a HAT that preferentially acetylates lysine 14 on H3 (Lo et al. 2000). Both of these are potential targets for future investigation. Clearly, follow-up studies will be necessary to link changes in histone acetylation/ deacetylation in the regulation of specific stress-regulatory genes.

Stress, antidepressants and GC “endophenotypes” Lauren Jacobson, Albany Medical College

HPA activity is of interest as a biomarker for treatment response in depression, with up to 80% of depressed patients exhibiting evidence of elevated HPA activity. Moreover, the failure of treatment to normalize HPA activity is a significant predictor of depression recurrence (Ising et al. 2007). The “corticosteroid hypothesis of depression” attributes HPA hyperactivity in depression to impaired brain GR expression and function that can be reversed or compensated by antidepressants (Holsboer 2000). However, the contrasts between melancholic and atypical depression raise questions as to whether impaired GR function is universal, and enhanced GR function necessarily beneficial, to all depressed individuals. Melancholic and atypical depressions have largely opposing psychiatric features (insomnia and loss of appetite in melancholia; hypersomnia and weight gain in atypical depression) that tend to be associated with differences in HPA activity. Melancholia is more likely to correlate with HPA hyperactivity and GC feedback resistance, whereas atypical depressed patients often exhibit HPA hypoactivity and a greater sensitivity to dexamethasone (DEX) suppression (American Psychiatric Association, Task Force on DSM-IV 2000; Pariante and Miller 2001; Gold et al. 2002; Stewart et al. 2009). Intriguingly, the conflicting psychiatric and endocrine characteristics of these two subtypes also correlate with differential responsiveness to older-generation antidepressants, with melancholics benefiting from both tricyclic antidepressants (TCA) and monoamine oxidase inhibitors (MAOI), but atypical depressed patients, particularly those with early-onset, chronic depression, responding significantly better to MAOIs than to TCAs (Stewart et al. 2009). These differences in antidepressant efficacy suggest that atypical and other forms of depression lacking HPA hyperactivity are not just troubling exceptions to the corticosteroid hypothesis of depression, but potentially instructive models to make this hypothesis more inclusive and useful for predicting antidepressant response.

In light of the evidence for opposing psychiatric and endocrine features in depression, we have

hypothesized that antidepressants effective for these different types of depression should have drug- and brain region-specific actions, rather than uniform effects, on HPA activity and GR expression. To test this hypothesis, we measured HPA hormones and performed *in situ* hybridization analysis of *GR* and *GR* target genes after chronic antidepressant treatment in male C57BL/6 mice. Mice were adrenalectomized and replaced with fixed corticosterone levels to control for indirect, autoregulatory changes in GR due to antidepressant effects on GC secretion. Mice were also subjected to the FST to assess depression-like immobility behavior to verify that drug doses were sufficient for clinically relevant effects. Under these conditions, we observed little change in hippocampal mineralocorticoid receptor gene expression or in hippocampal *GR* gene expression (Heydendael and Jacobson 2008; Heydendael and Jacobson 2009; Heydendael and Jacobson 2010), indicating that previously reported changes in these receptor populations (Pariante and Miller 2001) might have indeed reflected autoregulation (Herman 1993) secondary to antidepressant-induced changes in GC secretion.

However, supporting our predictions, we found that imipramine (a TCA) and phenelzine (a MAOI) had opposing effects on *GR* gene expression and HPA activity. Imipramine facilitated inhibition of HPA activity at low GC levels (Mukherjee et al. 2004), whereas phenelzine impaired corticosterone inhibition of ACTH and corticotropin-releasing hormone (CRH; Kier et al. 2005). Imipramine significantly increased *GR* gene expression in the PVH and the prefrontal cortex (Heydendael and Jacobson 2008), two regions shown to be capable of mediating HPA inhibition by localized GC implants (Diorio et al. 1993, Sawchenko 1987). In contrast, to the effects of imipramine (as well as effects predicted by the corticosteroid hypothesis of depression), phenelzine significantly reduced *GR* expression in these same regions (Heydendael and Jacobson 2008). The selective serotonin reuptake inhibitor (SSRI) fluoxetine had no significant effects on basal HPA activity, although it exhibited the potential to influence HPA feedback regulation also in inhibiting prefrontal cortex *GR* gene expression (Heydendael and Jacobson 2010). The actions of imipramine and phenelzine could, respectively, improve feedback sensitivity of the HPA axis to the modest cortisol elevations typically observed in melancholic depression (Young et al. 1994), and normalize the HPA hypoactivity and enhanced sensitivity to GC feedback reported in atypical depression (Levitin et al. 2002; Stewart et al. 2009). The lack of fluoxetine effects on HPA activity may indicate, as occasional studies have suggested (Perry 1996), that this class of drugs is less effective for HPA-dysregulated depression.

We have further examined antidepressant effects on *GR* gene expression in brainstem monoaminergic

nuclei connected with depression pathology or antidepressant action (Heydendael and Jacobson 2009). In both the locus coeruleus and dorsal raphé nucleus, we found consistent but drug-specific effects to inhibit *GR* gene expression and increase expression of genes such as tyrosine hydroxylase and tryptophan hydroxylase-2 (Brady et al. 1992; Makino et al. 2002), the rate-limiting enzymes for the synthesis of norepinephrine and serotonin, respectively. Phenelzine significantly inhibited *GR* and increased tyrosine hydroxylase gene expression in the locus coeruleus, whereas imipramine had no effects on *GR* but inhibited tyrosine hydroxylase gene expression in this region. In contrast, imipramine significantly inhibited *GR* and increased tryptophan hydroxylase-2 gene expression in the dorsal raphé nucleus, whereas phenelzine had no effects on either gene product in this region (Heydendael and Jacobson 2009). Fluoxetine shared the actions of both imipramine and phenelzine in having significant effects to inhibit *GR* gene expression and stimulate monoamine-synthesizing enzyme gene expression in the locus coeruleus as well as the dorsal raphé nucleus (Heydendael and Jacobson 2010). Supporting the likelihood that antidepressant effects on enzyme expression required *GR* activity, gene expression of tyrosine hydroxylase and tryptophan hydroxylase-2 was unaffected by antidepressant treatment in adrenalectomized mice without GC replacement (Heydendael and Jacobson 2009; Heydendael and Jacobson 2010). Drug effects were also brain region-specific, as we did not observe significant antidepressant effects in the dopaminergic ventral tegmental nucleus or the serotonergic median raphé nucleus (Heydendael and Jacobson 2009).

Alterations in noradrenergic or serotonergic tone potentially resulting from changes in tyrosine hydroxylase or tryptophan hydroxylase expression could affect both HPA activity and mood. Effects on HPA activity may be complex due to the context-specific and multi-synaptic influence of the locus coeruleus and dorsal raphé nucleus on this axis (Lowry 2002; Ziegler et al. 1999). However, as defects in norepinephrine and serotonin production, at least in vulnerable individuals, are thought to be involved

in depression (Heninger et al. 1996), regulation of tyrosine hydroxylase and tryptophan hydroxylase expression suggests a novel, *GR*-related mechanism for antidepressant effects on mood. As atypical depression has been specifically linked with norepinephrine deficiency (Brady et al. 1992; Gold et al. 2002), the potential to increase norepinephrine transmission could account for the efficacy of phenelzine in atypical depression (Stewart et al. 2009). The apparent overlap of fluoxetine effects with those of both imipramine and phenelzine, particularly on brainstem *GR* and monoamine-synthesizing enzyme expression, could contribute to the widespread efficacy reported for SSRIs (Pande et al. 1996; Vaswani et al. 2003). Notably, as GC excess can itself cause depression symptoms (Warrington and Bostwick, 2006), the ability to attenuate HPA activity could augment the effects on serotonergic tone of drugs such as imipramine.

Our results therefore support an expansion of the corticosteroid hypothesis of depression to include drug- and brain region-specific effects of antidepressants on *GR* signaling in the brainstem as well as in forebrain regions besides the hippocampus (Table II). Although their underlying pharmacology remains to be defined, these effects suggest that HPA tests have renewed value for predicting and monitoring antidepressant response. For example, the choice between antidepressants with TCA- or MAOI-like mechanisms of action might be dictated by whether the diagnosis of depression is accompanied by evidence of greater or lesser sensitivity to DEX suppression. Because GCs can affect mood (Stewart et al. 2009), our findings further imply that effects on HPA activity could contribute to the therapeutic actions of antidepressants (Figure 4).

GC alterations in PTSD Rachel Yehuda, James J. Peters VA Medical Center and Mt. Sinai School of Medicine

The initial conception of PTSD [as first described in the Diagnostic and Statistical Manual of Mental Disorders (DSM)-III] indicated that it represented a

Table II. Effects of antidepressants on expression of *GR* and *GR* target genes.

	Paraventricular hypothalamus			Prefrontal cortex			Locus coeruleus			Dorsal raphé nucleus		
	TCA	MAOI	SSRI	TCA	MAOI	SSRI	TCA	MAOI	SSRI	TCA	MAOI	SSRI
<i>GR</i>	↑	↓	–	↑	↓	↓	–	↓	↓	↓	–	↓
<i>GR</i> target gene	–/↓	↑	–	N/A	N/A	N/A	↓	↑	↑	↑	–	↑

Notes: Summary of the effects of representative antidepressants on *GR* gene expression and *GR* target gene expression in selected forebrain and brainstem regions of the mouse brain. *GR* target genes examined were CRH for the paraventricular hypothalamus, tyrosine hydroxylase for the locus coeruleus, and tryptophan hydroxylase-2 for the dorsal raphé nucleus. TCA, tricyclic antidepressant (imipramine); MAOI, monoamine oxidase inhibitor (phenelzine); SSRI, selective serotonin reuptake inhibitor (fluoxetine); ↑, significant increase; ↓, significant decrease; –, no significant effect; –/↓, nonsignificant trend towards a decrease ($0.05 < P < 0.1$); N/A, not applicable.

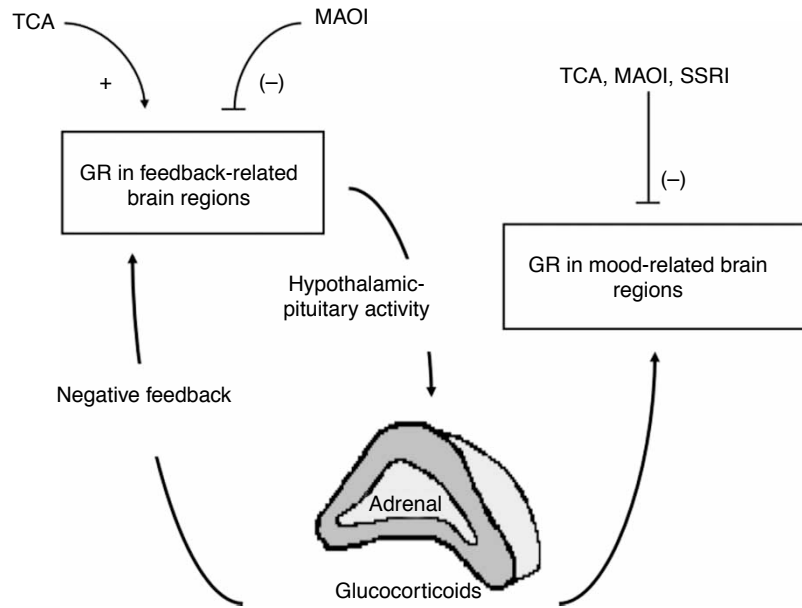


Figure 4. Proposed model for GR-related effects of antidepressant effects on HPA axis activity and mood. Representative TCA (imipramine) and MAOI (phenylzine) have opposing effects on HPA activity, potentially via opposing effects on GRs in feedback sites within the forebrain. The ability of TCA to facilitate and MAOI to impair GC feedback could contribute to normalizing HPA activity in melancholic or atypical depression, respectively. The SSRI fluoxetine does not exhibit marked effects on HPA activity and is not included in this part of the model. Antidepressants could also regulate mood through direct and indirect effects on GR. TCA, MAOI, and SSRI had similar effects to inhibit GR expression and relieve GR-mediated inhibition of monoamine-synthesizing enzymes in the locus coeruleus and dorsal raphe nucleus, which could contribute to the increases in norepinephrine and serotonin considered to be important to antidepressant response. Alternatively or in addition, changes in GR in HPA feedback-related regions (observed primarily for TCA and MAOI) could indirectly affect GC-sensitive mood centers by raising or lowering GC levels.

universal human response to exposure to extremely traumatic experiences, defined as both markedly distressing, and unusual or rare in a person's experience (American Psychiatric Association 1980). Three distinct, but co-occurring, symptom groups are present in PTSD. Re-experiencing symptoms are intrusions of the traumatic memory in the form of distressing images, nightmares, or dissociative experiences such as flashbacks. Avoidance symptoms include actively avoiding reminders of the traumatic event including persons, places, or things associated with the trauma and more passive behaviors reflecting emotional numbing and constriction. Hyperarousal symptoms such as insomnia, irritability, impaired concentration, hypervigilance, and increased startle responses concern more "physiologic" manifestations of trauma exposure. In *DSM-IV*, these symptoms must impair social, occupational or interpersonal function, and persist in tandem for at least a month following the trauma (American Psychiatric Association 1994).

It was implicit in both the original and revised definitions of PTSD that the most important predictor of PTSD would be the type and severity of trauma exposure. Reciprocally, the presence of PTSD provided post hoc confirmation of trauma exposure. The strong theoretical link between exposure to events at the extreme end of the stress response spectrum and PTSD provided the rationale for hypothesizing that

biologic alterations in this disorder, particularly those associated with GCs, would be similar to those observed in basic science models of stress (Yehuda et al. 1998a).

The normal fear response is characterized by a series of biological reactions that help the body cope with stress. Activation of the SNS and the release of adrenaline allow the organism to increase its physiological capacity for "fight-or-flight" in response to threat (Cannon 1914) and facilitate consolidation of the threat memory (McGaugh and Roozendaal 2002). The simultaneous activation of the HPA axis, culminating in the release of cortisol, helps contain the stress response when the threat is removed (Munck et al. 1984), as described above. As stress-activated biological reactions are suppressed as a result of cortisol inhibition, elevated cortisol levels also exert a negative feedback inhibition at the pituitary, hypothalamus, and amygdala—sites initially responsible for the stimulation of cortisol release (McEwen 1992). Negative feedback inhibition occurs due to the presence of GRs, and leads to the restoration of basal hormone levels (de Kloet et al. 1986).

Early biological studies in PTSD hypothesized increases in production of both cortisol and catecholamines. However, only the latter were found to be elevated (Kosten et al. 1987; Southwick et al. 1997; Yehuda et al. 1998b). The first neuroendocrine study of cortisol in PTSD demonstrated lower 24-h urinary

cortisol excretion in PTSD compared to persons with other psychiatric diagnoses (Mason et al. 1986). Although these results were initially difficult to interpret, it soon became clear that (1) only a minority of persons exposed to trauma failed to recover from initial fear reactions and move on to develop PTSD, and (2) traumatic exposures that had been presumed to be rare were common occurrences (Kessler et al. 1995). These epidemiologic observations resulted in a paradigm shift with respect to the conceptual link between trauma exposure and PTSD (Yehuda 2002). That is, rather than representing the normative response to trauma, it seemed more appropriate to describe PTSD as a failure to engage the biological mechanisms associated with recovery and physiologic homeostasis (Yehuda and McFarlane 1995; Yehuda and LeDoux 2007). This conceptual change provided an important context for understanding GC alterations in PTSD. Indeed, if PTSD represented a specific biological phenotype representing a failure of recovery, lower cortisol levels could represent an important biological correlate of this phenomenon.

GC alterations in chronic PTSD: Overview

Alterations of the HPA axis are a predominant feature of PTSD pathophysiology. Although there are exceptions in the literature, it appears that PTSD is associated with a unique profile in that CRH levels appear to be increased (Baker et al. 1999) whereas urinary and plasma levels of cortisol are lower or at least not elevated, compared to non-exposed persons without PTSD (Yehuda 2002). Increased cortisol suppression in response to DEX has also been observed in most studies, reflecting an enhanced negative feedback inhibition. An important feature of this profile is that it differs from that observed in acute and chronic stress and depression, which has been classically associated with increased CRH and cortisol levels, reduced cortisol suppression by DEX, and reduced GR responsiveness.

Indeed, it is presumed that the enhanced negative feedback inhibition reflects a greater responsiveness of GR, as suggested by demonstrations of changes in GR number and responsiveness in response to DEX administration and other challenges (Yehuda et al. 1995). Although it is easy to reach the erroneous conclusion that the lower cortisol levels reflect a less active HPA axis, this does not appear to be the case (Yehuda et al. 1996). Circadian rhythm of cortisol secretion reflects a more dynamic regulatory pattern, as confirmed by mathematical modeling of cortisol oscillations over the diurnal cycle (Elzinga et al. 2003). Furthermore, hormonal responses to naturalistic and laboratory provocations suggest an exaggerated hormonal (and subjective) response to stress. In some cases, but more rarely, elevated cortisol levels have been reported in PTSD. Together, these findings

imply that although cortisol levels may be generally lower, the adrenal gland is certainly capable of producing adequate amounts of cortisol in response to challenge (Yehuda 2005).

Origin of GC-related alterations in PTSD

Though initial observations related low cortisol levels and related findings of HPA axis alterations to PTSD pathophysiology, several converging findings indicate that these cortisol-related alterations may reflect a pre-existing vulnerability trait that increases the probability of developing PTSD following trauma exposure. In some longitudinal studies evaluating trauma survivors in the immediate aftermath of exposure and thereafter, there was an attenuated rise in cortisol release immediately after the trauma in those at greater risk for developing PTSD or who actually did develop PTSD (Yehuda et al. 1998a). This finding has tended to be confined to samples evaluating cortisol in response to a similar event exposure. Thus, lower cortisol levels were associated with prior assault in a study of rape victims consecutively appearing at an emergency room, hours after rape (Resnick et al. 1995). Lower cortisol levels were also observed in association with PTSD symptoms in a group of motor vehicle accident victims (Delahanty et al. 2003), and in response to the natural disaster of an ice storm (Anisman et al. 2001). This finding was not replicated in a large cohort of civilians appearing at an emergency department in response to a wide range of events ranging from terrorism to accidents (Shalev et al. 2008). Possibly such a differentiation requires a relatively homogenous sample with similar risk factors for exposure to a particular traumatic event, or PTSD following that event.

Interestingly, in studies finding evidence of low cortisol in association with PTSD or PTSD risk, there was also evidence of greater SNS arousal as reflected by catecholamine levels. It may be that increased SNS activation reflects an inadequately contained acute stress response. In the above study of civilians, neither lower cortisol levels nor elevated catecholamines were observed in the acute aftermath of trauma (Shalev et al. 2008).

That lower cortisol levels reflect PTSD risk has also been supported by studies of individuals at risk for PTSD based not on trauma exposure, but rather, on the risk factor of parental PTSD. Parental PTSD has been demonstrated to increase the prevalence of PTSD following trauma exposure by as much as threefold (Yehuda and Bierer 2008). In a sample of Holocaust offspring, lower cortisol levels were also observed in association with parental PTSD (Yehuda and LeDoux 2007). Offspring of Holocaust survivors with PTSD also showed significantly enhanced cortisol responses to 0.5 mg DEX compared with offspring of Holocaust survivors without PTSD and

demographically comparable controls (Yehuda et al. 2007). In addition, urinary cortisol levels were negatively correlated with severity of parental PTSD symptoms, even after controlling for PTSD symptoms in offspring. The finding that low cortisol is associated with parental PTSD was recently replicated in a cohort of infants of mothers exposed, while pregnant, to the World Trade Center attacks on September 11, 2001. In this cohort, significantly lower salivary cortisol levels were observed in the subgroup of offspring born to mothers who developed PTSD from this event compared to infants whose mothers did not (Yehuda et al. 2005).

An attenuated cortisol response in the acute aftermath of trauma in those at greater risk for PTSD may result in a cascade in which there is increased SNS activation, leading to an exaggerated catecholamine response to the trauma. This, in turn, could initiate a process in which traumatic memories become “over-consolidated” or inappropriately remembered due to an exaggerated level of distress. The primary mechanism through which catecholamines facilitate memory formation is maintaining organisms at a high level of arousal. This response is modulated by adrenal steroids (Roozendaal et al. 2008). Thus, if there is insufficient cortisol signaling, catecholamine-induced arousal might be prolonged and distress increased. Both circumstances might impair memory consolidation or lead to increased fear conditioning to more generalized stimuli. If low cortisol levels represent a pre-existing characteristic, reinforced by “over-consolidation” at the time of the trauma, then failing to properly contain the SNS response to traumatic reminders could perpetuate the intrusive and hyper-arousal symptoms of chronic PTSD, leading to the elaboration of avoidance symptoms that commonly occur in the disorder even over years or decades.

The above discussion is important because it suggests that cortisol levels (and related GC alterations) in PTSD reflect pre-trauma characteristics. Thus, the role of GC alterations in PTSD pathophysiology may be more as precipitants or facilitators of disorder following trauma exposure than as consequences of exposure *per se*. This formulation is appealing because a central question in trying to understand the biological basis of PTSD as a response to extreme stress involves resolving why only a minority of those exposed to trauma develop the disorder. Thus, there is a biological vulnerability that explains why some persons experience exaggerated arousal and distress and move on to develop a condition characterized by sustained arousal, whereas others are able to achieve homeostasis in response to exposures of similar magnitude. Because there are clear risk factors for PTSD, some of which may be constitutional, it is important to consider a wide range of biological contributors to the HPA axis alterations that have been observed. These include

genetic polymorphisms, permanent changes in gene expression resulting from epigenetic modifications, and transient and reversible changes reflecting day-to-day alterations in biological processes, as well as the role of ongoing environmental perturbations on these latter processes.

Summary

The work presented in this symposium highlights several important considerations for understanding the genesis of stress pathology or induction of stress resistance in animal models: neuroplastic responses in cortical and hippocampal nodes controlling stress responses, epigenetic factors that influence stress coping, and the contribution of GC hyposecretion as well as hypersecretion to stress phenotypes and neural circuit organization. Taken together, these lines of research highlight a considerable variance in organismic “strategies” for stress coping. Dr. Kabbaj’s work on individual differences in the HR–LR animal model highlights the heterogeneity of stress response patterning across acute versus chronic exposure regimens, with the LR rats showing enhanced anxiety to acute stress exposure, and HR rats showing more pronounced sensitivity to chronic stress in the form of social defeat. Dr. Jacobson’s studies suggest that depression may be composed of both hypoactive and hyperactive HPA axis endophenotypes, which involve different underlying neural circuit activity and may be selectively accessed by different classes of antidepressant drugs. Dr. Radley’s work suggests that stress responses are controlled by convergent inputs from PL and hippocampal inputs to subcortical relays. There are known individual differences in brain region reactivity to stress, illustrated in Dr. Kabbaj’s work highlighting stress-related HR–LR histone acetylation/deacetylation in the hippocampus but not prefrontal cortex. Thus, it stands that genetic, or in this case, epigenetic factors may alter the role of the hippocampus and prefrontal cortex in stress integration, thereby influencing the circuitry that underlies an individual’s stress response strategy. Finally, Dr. Yehuda’s work presents evidence for altered HPA axis control as a trait, rather than state variable for the development of PTSD, wherein low cortisol release represents a significant risk factor for “overconsolidation” of stressful experiences and disease development.

Overall, these sets of studies are illustrative of the importance of individual differences in determination of stress responsiveness, particularly with regard to determining how prolonged or chronic stress will influence the physiology and behavior of the organism. The importance of prior experience and genetics on individual stress reactivity is an emergent theme in the stress field, and work in this area promises to

illuminate factors determining stress resilience versus susceptibility in heterogeneous populations.

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